

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re	: Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP) (Jointly Administered)
Debtors.	:
	:
	Ref. Docket Nos. 36115, 36834, 36942-36946, 36948, 36997, 37265- 37267, 37281, 37284, 37298-37303, 37307

AFFIDAVIT OF SERVICE

STATE OF NEW YORK )  
                      ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 14, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
17<sup>th</sup> day of May, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) OR (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: DEUTSCHE BANK AG  
ATTN: CHIP GOODRICH, ESQ.  
60 WALL STREET  
NEW YORK NY 10005-2858

DEUTSCHE BANK AG  
BINGHAM MCCUTCHEON LLP  
ATTN: RANAN WELL, ESQ.  
2020 K STREET, NW  
WASHINGTON DC 20006

Please note that your claim # 26969-09 in the above referenced case and in the amount of  
\$19,781,470.79 allowed at \$19,781,470.79 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: DEUTSCHE BANK AG  
C/O EMPIREAN CAPITAL PARTNERS, LP  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., SUITE 2950  
LOS ANGELES CA 90067

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 37266 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 05/14/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 14, 2013.

## EXHIBIT B

Name	Address
BRUINSMA, A.J.	PO BOX 5327 HELDERBERG 7135 SOUTH AFRICA
CANYON BALANCED MASTER FUND, LTD.	ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
CANYON-BALANCED MASTER FUND, LTD.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
CANYON-GRF MASTER FUND II, L.P.	ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
CANYON-GRF MASTER FUND II, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
CANYON-GRF MASTER FUND II, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR NEW YORK NY 90067
CANYON-GRF MASTER FUND II, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
CREDIT SUISSE AG	TRANSFEROR: NOTENSTEIN PRIVATEBANK AG ATTN: ALLEN GAGE 1 MADISON AVENUE NEW YORK NY 10010
DEUTSCHE BANK AG	ATTN: CHIP GOODRICH ESO. 60 WALL STREET NEW YORK NY 10005-2858
DEUTSCHE BANK AG	BINGHAM MCCUTCHEON LLP ATTN: RANAN WELL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD, SUITE 2950 LOS ANGELES CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., SUITE 2950 LOS ANGELES CA 90067
GOLDMAN SACHS & CO.	TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ASHTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: BBVA (SUIZA) S.A. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: BBVA (SUIZA) S.A. ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: KING CAPITAL LTD ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: LYXOR YORK FUND, LTD. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK CAPITAL MANAGEMENT LP ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK CREDIT OPPORTUNITIES FUND,L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK CREDIT OPPORTUNITIES MASTER FUND, LP ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK MULTI-STRATEGY MASTER FUND, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: RR INVESTMENT CO LTD C/O GOLDMAN SACHS & CO ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: BRUNNSMA, A.J. ONE UNIVERSITY PLAZA SUITE 312 HACKENSACK NJ 07601
GOLDMAN SACHS & CO.	TRANSFEROR: BARCLAYS BANK PLC C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
GOLDMAN SACHS & CO.	TRANSFEROR: CREDIT SUISSE BOHL 17 ST. GALLEN CH-9004 SWITZERLAND
GOLDMAN SACHS LENDING PARTNERS, LLC	ATTN: JAVED ABOODAKAR C/O CITCO (Mauritius) LIMITED MEDINE MEWS BUILDING, 9TH FLOOR LA CHAUSEE STREET PORT LOUIS MAURITIUS
LIQUIDITY SOLUTIONS, INC.	EDWARD SWAN, PC KIRKLAND & ELLIS LLP 300 NORTH LASALLE CHICAGO IL 60654
LYXOR/YORK FUND, LTD.	RISHI GUPTA NEW SILK ROUTE PE ASIA FUND, LP 540 MADISON AVENUE, 39TH FLOOR C/O NEW SILK ROUTE PARTNERS, LTD
NOTENSTEIN PRIVATEBANK AG	(THE GENERAL PARTNER OF GENERAL PARTNER) NEW YORK NY 10022
NSR BETA PE MAURITIUS, LLC	STEPHEN A. RUTENBERG SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
NSR BETA PE MAURITIUS, LLC	TRANSFEROR: NSR BETA PE MAURITIUS, LLC C/O PAULSON & CO. INC. 1251 AVENUE OF THE AMERICAS, 50TH FLOOR NEW YORK NY 10020
NSR BETA PE MAURITIUS, LLC	ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
PERMAL CANYON IO LTD.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
PERMAL CANYON IO LTD.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
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PERMAL YORK, LTD.	TRANSFEROR: BARCLAYS BANK PLC C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
RR INVESTMENT CO LTD	C/O SNR DENTON SAM J. ALBERTS 1301 K STREET, NW WASHINGTON DC 20005

Name	Address
SILVER POINT CAPITAL FUND, LP	TRANSFEROR: GOLDMAN SACHS & CO. ATTN: DAVID F. STEINMETZ C/O SILVER POINT CAPITAL, L.P. 2 GREENWICH PLAZA, FIRST FLOOR GREENWICH CT 06830 ROBERT SCHETTINGER SIDLET AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
THE CANYON VALUE REALIZATION MASTER FUND, L.P.	ROBERT SCHETTINGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
THE CANYON VALUE REALIZATION MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS LOS ANGELES CA 90067
THE CANYON VALUE REALIZATION MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O CANYON CAPITAL ADVISORS LLC ATTN: JONATHAN M. KAPLAN 2000 AVENUE OF THE STARS, 11TH FLOOR LOS ANGELES CA 90067
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q99C/05GC P.O. BOX ZURICH 8098 SWITZERLAND
YORK CAPITAL MANAGEMENT, LP	TRANSFEROR: BARCLAYS BANK PLC C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
YORK CAPITAL MANAGEMENT, LP	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
YORK CREDIT OPPORTUNITIES FUND, L.P.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
YORK CREDIT OPPORTUNITIES FUND, L.P.	STROOCK & STROOCK & LAVAN LLP ATTN: IRINA GOMELSKAYA, ESQ. 180 MALDEN LANE NEW YORK NY 10018
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YORK CREDIT OPPORTUNITIES FUND, L.P.	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153
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YORVIK PARTNERS LLP	TRANSFEROR: UBS AG ATTN: LISA KING 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM